



International Rail Transport Committee
Comité international des transports ferroviaires
Internationales Eisenbahntransportkomitee



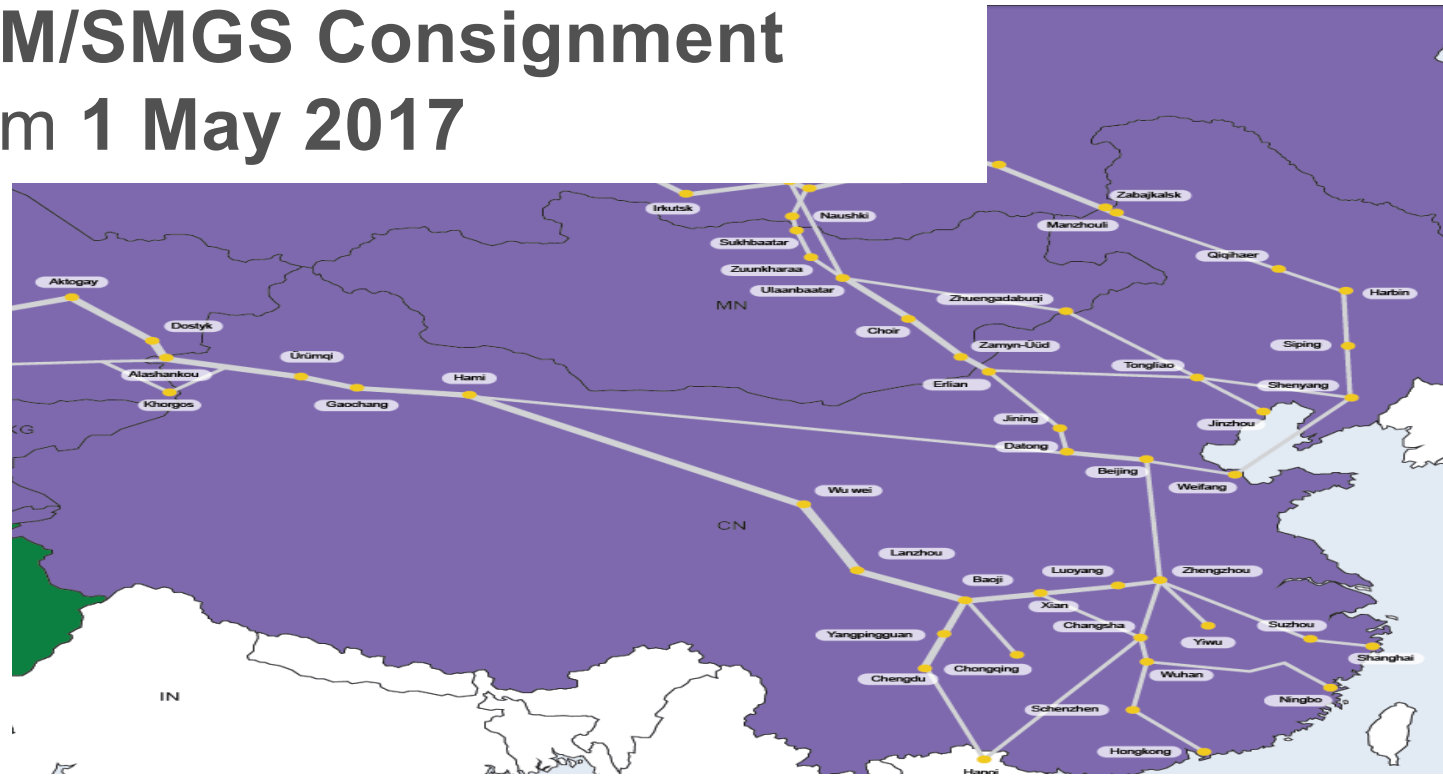
CIT/OSJD electronic CIM/SMGS common Consignment note

UNCITRAL Webinar
13-14 April 2021, *Online*

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Accession of China to the GLV-CIM/SMGS

China's border points with Russian Federation, Kazakhstan, Mongolia are open for import and export traffic using **CIM/SMGS Consignment**
Note from 1 May 2017



GLV-CIM/SMGS – Content

- A. General provisions
- B. Common Provisions for paper and electronic consignment notes
- C. Paper consignment note
- D. Electronic consignment note
- E. Final provisions

Appendices

- 1 List of members applying the manual
- 2 Explanatory notes on the content of the CIM/SMGS consignment note
- 3 List of reconsignment points
- 4 List of the addresses of departments to which applications for authorisation are to be sent
- 5 Specimen of the CIM/SMGS consignment note
- 6 Packaging requirements
- 7 CIM/SMGS wagon/container list
- 8 CIM/SMGS formal report
- 9 List of addresses of the departments competent for the handling of claims



Edition 1 January 2016

CIM/SMGS Consignment Note Manual (GLV-CIM/SMGS)

Applicable with effect from 1 September 2006

GLV-CIM/SMGS – General provisions

Character of the document

- Domain CIM: Manual (GLV-CIM/SMGS) – Recommendation character
- Domain SMGS: Appendix 6 to SMGS – when the parties use the CN CIM/SMGS obligatory

Purpose of the manual (point 3)

- CIM/SMGS carriages under a single consignment note
- Alternative to the traditional system with re-writing the consignment note at the reconsignment point

Scope (point 4)

- Consignment subject to the CIM Uniform Rules and to the SMGS, consigned for carriage with a CIM/SMGS consignment note, carried by carriers listed in Appendix 1 and kept in the custody of one of this carriers at the reconsignment point
- Domain CIM: application to be agreed between the customer and the carrier as well as between the carriers themselves.
- Domain SMGS : application in the traffic axes defined by the participants to the SMGS and applying the manual.

GLV-CIM/SMGS – Paper consignment note

CIM/SMGS consignment note (appendix 5 GLV-CIM/SMGS)

- 👍 Materialises both contracts of carriage
- 👍 *Recognised as customs transit document and as **bank/accréditive document** (UCP600/ICC)*
- 👍 Does not affect the CIM/SMGS liability rules
- 👍 Combines the CIM and the SMGS consignment note
- Basis: United Nations layout key for trade documents
- Front page: common boxes CIM/SMGS + boxes CIM
- Back page: boxes SMGS
- IT-software for its issuing

The image displays a complex, multi-sectioned form for a GLV-CIM/SMGS consignment note. The form is organized into several horizontal and vertical blocks, each containing specific fields for data entry. At the top, there are checkboxes for 'CIM/SMGS' and 'CIM/SMGS + CIM'. The main body of the form is divided into numerous boxes, some of which are labeled with numbers (1-10) and contain specific headings in French, such as 'Informations générales', 'Informations relatives au transport', 'Informations relatives au destinataire', and 'Informations relatives au transporteur'. The form also includes a section for 'Informations relatives au transport' and a section for 'Informations relatives au destinataire'. The bottom of the form features a section for 'Informations relatives au transport' and a section for 'Informations relatives au destinataire'. The form is designed to be filled out by the shipper or the carrier, providing a standardized way to document the consignment of goods.

Common CIM/SMGS consignment note:

Practical Use

- east-west rail freight traffic
- on the Eurasian land bridge
- **85%** Container transportation
- **18%** Single wagon load
- **.30 Min** pro Wagon
- further facilitations (wagon and container list, formal report and formal procedure, etc.)
- legal harmonisation

The image shows a complex, multi-sectioned form for a Common CIM/SMGS consignment note. The form is divided into several main areas, each with specific fields for data entry. At the top, there are checkboxes for 'CIM/SMGS' and 'Consignment note'. Below this, the form is organized into a grid-like structure with various sections for sender and receiver information, wagon details, and cargo description. The form includes fields for 'Sender', 'Receiver', 'Wagon', 'Cargo', 'Date', 'Time', 'Place', 'Quantity', 'Weight', 'Volume', 'Value', 'Insurance', 'Remarks', and 'Signature'. The form is designed to be filled out by the sender and receiver, providing a standardized way to document the transport of goods by rail.

GLV-CIM/SMGS – Electronic consignment note CIM/SMGS

CIM – Principle of functional equivalence (point 21)

SMGS – Principle of agreement between the railways, consignor and consignee (point 22)

EDI agreement (point 23)

Recommendations

- Functional and legal specifications for the electronic CIM/SMGS consignment note
- Technical specifications for the electronic CIM/SMGS consignment note

Electronic CIM/SMGS Consignment Note

Functional specifications:

updated based on the revision
of SMGS (1 July 2015)

Legal specifications:

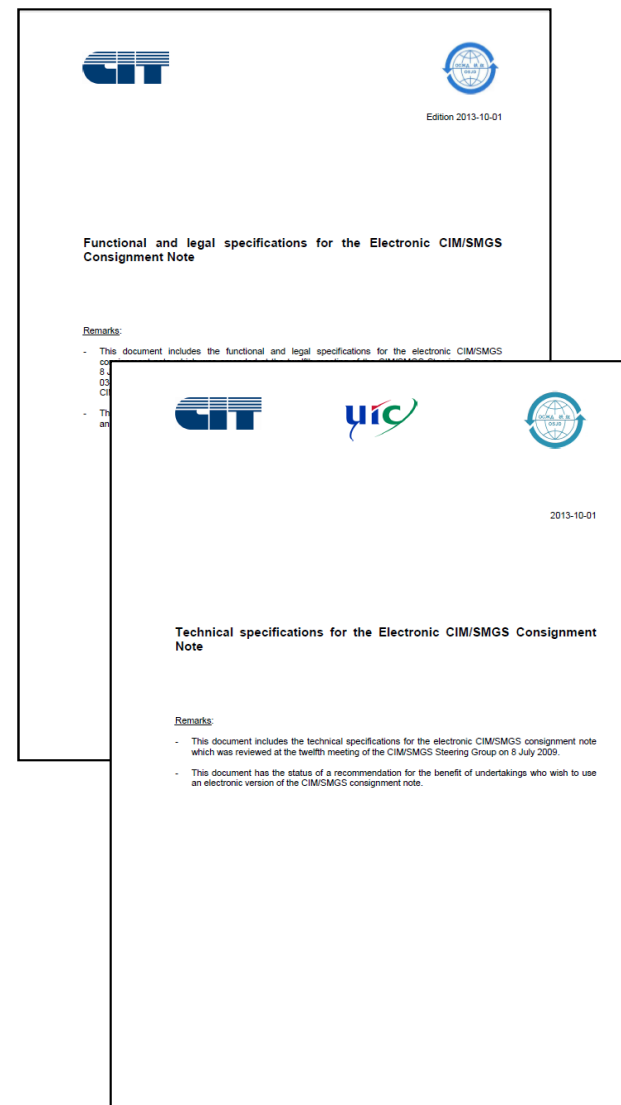
EDI Contract

Work done: *Ad hoc* technical WG on e-consignment
note CIM/SMGS (CIT, OSJD, RAILDATA
and experts from CIM & SMGS RUs)

Tasks:

- revision of the technical specifications;
- work towards development of the
XML/EDIFACT converter;

In Force: 1st July 2019



Functional, legal and technical specifications for the electronic CIM/SMGS Consignment Note

Document: available for CIT and OSJD members in English, French, German, Russian and Chinese*

(*OSJD Website)

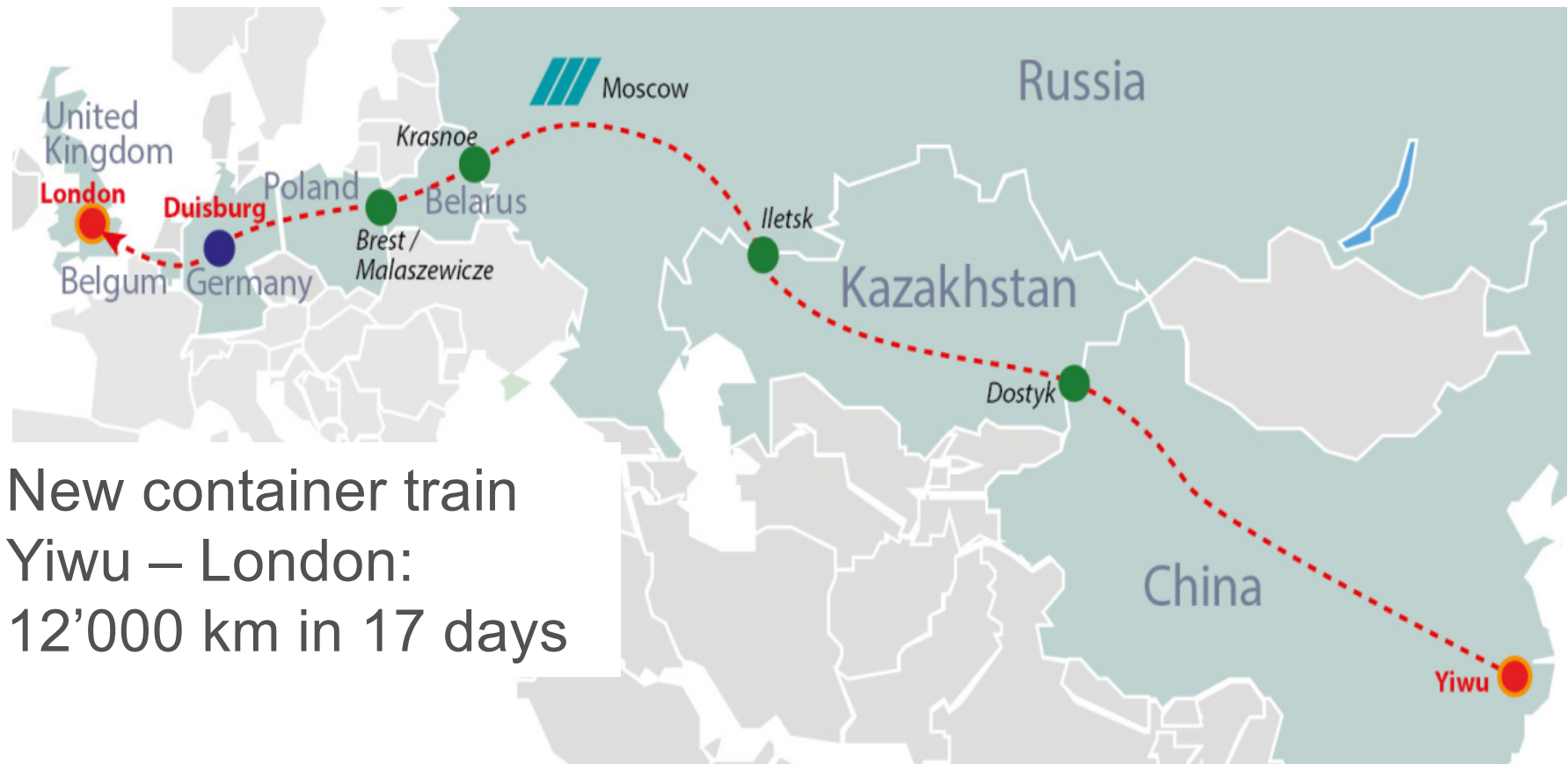
Next step: organisation of **pilot transport** with
electronic CIM/SMGS consignment note
Possible partners - DB Cargo and RZD



Important questions:

- Recognition of the electronic consignment note CIM/SMGS as accreditive?
- exchange of information with the customs authorities and the scope of this information?
- electronic use of accompanying documents (container and wagon note, formal report etc)?

New Eurasian railway routes: Opportunities and challenges for railway companies



UNCITRAL webinar on International experiences with the dematerialization of negotiable transport documents

*Following the consideration of the proposal by China UNCITRAL requested its secretariat to start preparatory work towards the development of a new international instrument on multimodal negotiable transport documents that could be used for contracts **not** involving carriage by sea*



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