CIT/OSJD electronic CIM/SMGS common Consignment note

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Accession of China to the GLV-CIM/SMGS

China’s border points with Russian Federation, Kazakhstan, Mongolia are open for import and export traffic using CIM/SMGS Consignment Note from 1 May 2017
GLV-CIM/SMGS – Content

A. General provisions
B. Common Provisions for paper and electronic consignment notes
C. Paper consignment note
D. Electronic consignment note
E. Final provisions

Appendices
1. List of members applying the manual
2. Explanatory notes on the content of the CIM/SMGS consignment note
3. List of reconsignment points
4. List of the addresses of departments to which applications for authorisation are to be sent
5. Specimen of the CIM/SMGS consignment note
6. Packaging requirements
7. CIM/SMGS wagon/container list
8. CIM/SMGS formal report
9. List of addresses of the departments competent for the handling of claims
### GLV-CIM/SMGS – General provisions

<table>
<thead>
<tr>
<th>Character of the document</th>
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<tr>
<td>• Domain CIM: Manual (GLV-CIM/SMGS) – Recommendation character</td>
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<td>• Domain SMGS: Appendix 6 to SMGS – when the parties use the CN CIM/SMGS obligatory</td>
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<th>Purpose of the manual (point 3)</th>
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<td>• CIM/SMGS carriages under a single consignment note</td>
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<td>• Alternative to the traditional system with re-writing the consignment note at the reconsignment point</td>
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<th>Scope (point 4)</th>
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<td>• Consignment subject to the CIM Uniform Rules and to the SMGS, consigned for carriage with a CIM/SMGS consignment note, carried by carriers listed in Appendix 1 and kept in the custody of one of this carriers at the reconsignment point</td>
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<td>• Domain CIM: application to be agreed between the customer and the carrier as well as between the carriers themselves.</td>
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<td>• Domain SMGS : application in the traffic axes defined by the participants to the SMGS and applying the manual.</td>
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GLV-CIM/SMGS – Paper consignment note

CIM/SMGS consignment note (appendix 5 GLV-CIM/SMGS)

- Materialises both contracts of carriage
- Recognised as customs transit document and as bank/accreditive document (UCP600/ICC)
- Does not affect the CIM/SMGS liability rules
- Combines the CIM and the SMGS consignment note
  - Basis: United Nations layout key for trade documents
  - Front page: common boxes CIM/SMGS + boxes CIM
  - Back page: boxes SMGS
  - IT-software for its issuing
Common CIM/SMGS consignment note:

Practical Use

- east-west rail freight traffic
- on the Eurasian land bridge
- 85% Container transportation
- 18% Single wagon load
- .30 Min pro Wagon
- further facilitations (wagon and container list, formal report and formal procedure, etc.)
- legal harmonisation
GLV-CIM/SMGS – Electronic consignment note CIM/SMGS

CIM – Principle of functional equivalence (point 21)

SMGS – Principle of agreement between the railways, consignor and consignee (point 22)

EDI agreement (point 23)

Recommendations

• Functional and legal specifications for the electronic CIM/SMGS consignment note
• Technical specifications for the electronic CIM/SMGS consignment note
Electronic CIM/SMGS Consignment Note

Functional specifications:
updated based on the revision
of SMGS (1 July 2015)

Legal specifications:
EDI Contract

Work done:  Ad hoc technical WG on e-consignment
note CIM/SMGS (CIT, OSJD, RAILDATA
and experts from CIM & SMGS RUs)

Tasks:
- revision of the technical specifications;
- work towards development of the
XML/EDIFACT converter;

In Force: 1st July 2019
Functional, legal and technical specifications for the electronic CIM/SMGS Consignment Note

**Document:** available for CIT and OSJD members in English, French, German, Russian and Chinese*  
(*OSJD Website)

**Next step:** organisation of **pilot transport** with electronic CIM/SMGS consignment note  
Possible partners - DB Cargo and RZD

**Important questions:**
- Recognition of the electronic consignment note CIM/SMGS as accreditive?
- exchange of information with the customs authorities and the scope of this information?
- electronic use of accompanying documents (container and wagon note, formal report etc)?
New Eurasian railway rotes: Opportunities and challenges for railway companies

New container train
Yiwu – London:
12’000 km in 17 days
UNCITRAL webinar on International experiences with the dematerialization of negotiable transport documents

Following the consideration of the proposal by China UNCITRAL requested its secretariat to start preparatory work towards the development of a new international instrument on multimodal negotiable transport documents that could be used for contracts not involving carriage by sea.
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